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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C., Unit d States of America

In re Application of:

Sprick, William D.

Serial No.: 09/933,919

Filed: 08/21/2001

CLOSURE HAVING AN IMPROVED THREAD DESIGN

Examiner:

Group Art Unit: 3727

Atty. Docket:

CG-614 CIP

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(B)

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir/Madam:

In accordance with 37 CFR §1.56, the references listed below are brought to the attention of the examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

U.S. REFERENCES CITED:

Patent No.	Inventor	Date
4,381,840	Ostrowsky, E.M.	05/03/1983

FOREIGN REFERENCES CITED:

Publication No.	Applicant	Date	
GB 2,166,422 A	Sunbeam Plastics	05/08/1986	_
	Corporation (USA-Indiana	a)	



Application of Sprick, William Serial No.: 09/933,919 Atty. Dkt. No. CG-614 CIP Page 2

Certification Under 37 CFR §1.97(b)

This information is submitted before a first Office Action on the merits; as such, no fee is due for its submission.

Respectfully submitted,

John F. Salazar, Reg. No. 39,353

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Date: February 10, 2003

Encl: Form PTO/SB/21

PTO/SB/08A (including a copy of the references)
Return postcard for evidencing receipt of above items

3727

PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/933.919 **TRANSMITTAL** Filing Date 08/21/2001 **FORM** First Named Inventor Sprick, William D. Art Unit (to be used for all correspondence after initial filing) 3727 **Examiner Name** Attorney Docket Number **CG-614 CIP** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group Preliminary Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Cover letter Request for Refund Express Abandonment Request PTO/SB/08A; References CD, Number of CD(s) Postcard Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Total number of pages listed above excludes references RECEIVE Response to Missing Parts/ Incomplete Application FEB 2 1 2003 Response to Missing Parts under 37 CFR 1.52 or 1.53 TECHNOLOGY CENTER 1,3741 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John, F., Salazar, Reg. No. 39,353

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed Pamela J. Hogan

Signature Date 2-11-2033

Individual Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.